

**On the large- $N_c$  behaviour of the  $L_7$  coupling in  $\chi$ PT.****Santiago Peris<sup>a,b,\*†</sup> and Eduardo de Rafael<sup>a</sup>**<sup>a</sup> Centre de Physique Théorique  
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and

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It is shown that the usual large- $N_c$  counting of the coupling constant  $L_7$  of the  $\mathcal{O}(p^4)$  low-energy chiral  $SU(3)$  Lagrangian [3] is in conflict with general properties of QCD in the large- $N_c$  limit. The solution of this conflict within the framework of a chiral  $U(3)$  Lagrangian is explained.

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1. Chiral perturbation theory ( $\chi PT$ ) is the effective field theory of quantum chromodynamics (QCD) at low energies. It describes the strong interactions of the low-lying pseudoscalar particles in terms of the octet of Nambu-Goldstone fields ( $\vec{\lambda}$  are the eight  $3 \times 3$  Gell-Mann matrices):

$$\Phi(x) = \frac{\vec{\lambda}}{\sqrt{2}} \cdot \vec{\varphi}(x) = \begin{pmatrix} \pi^0/\sqrt{2} + \eta/\sqrt{6} & \pi^+ & K^+ \\ \pi^- & -\pi^0/\sqrt{2} + \eta/\sqrt{6} & K^0 \\ K^- & \bar{K}^0 & -2\eta/\sqrt{6} \end{pmatrix}, \quad (1)$$

as explicit degrees of freedom, rather than in terms of the quark and gluon fields of the usual QCD Lagrangian. In the conventional formulation, the Nambu-Goldstone fields are collected in a unitary  $3 \times 3$  matrix  $\mathcal{U}(x)$  with  $\det \mathcal{U} = 1$ , which under  $SU(3)$ -chiral transformations ( $V_L, V_R$ ) is chosen to transform linearly

$$\mathcal{U} \rightarrow V_R \mathcal{U} V_L^\dagger. \quad (2)$$

The possible terms in the effective Lagrangian  $\mathcal{L}_{eff}$ , with the lowest chiral dimension i.e.,  $\mathcal{O}(p^2)$  are [1]:

$$\mathcal{L}_{eff}^{(2)} = \frac{1}{4} f_\pi^2 \left\{ tr \partial_\mu \mathcal{U} \partial^\mu \mathcal{U}^\dagger + tr (\chi \mathcal{U}^\dagger + \mathcal{U} \chi^\dagger) \right\}. \quad (3)$$

The term with the matrix  $\chi$  is the lowest order term induced by the explicit breaking of the chiral symmetry in the underlying QCD Lagrangian, due to the quark masses. For our purposes, it will be sufficient to consider the approximate case where  $m_u = m_d = 0$ . Then

$$\chi \simeq \text{diag}[0, 0, 2M_K^2]. \quad (4)$$

An explicit representation of  $\mathcal{U}$  is

$$\mathcal{U}(x) = \exp \left( -i \frac{1}{f_\pi} \vec{\lambda} \cdot \vec{\varphi}(x) \right), \quad (5)$$

and our normalization is such that  $f_\pi = 92.5 \text{ MeV}$ .

The identification of all the independent local terms of  $\mathcal{O}(p^4)$ , invariant under parity, charge conjugation, and local chiral- $SU(3)$  transformations, as well as the phenomenological determination of the ten physical coupling constants which appear, has been made by Gasser and Leutwyler [2], [3]. We reproduce the terms which will be relevant for our discussion:

$$\mathcal{L}_{eff}^{(4)} \doteq L_5 tr [\partial_\mu \mathcal{U}^\dagger \partial^\mu \mathcal{U} (\chi^\dagger \mathcal{U} + \mathcal{U}^\dagger \chi)] + L_7 [tr (\chi^\dagger \mathcal{U} - \mathcal{U}^\dagger \chi)]^2 + L_8 tr (\chi^\dagger \mathcal{U} \chi^\dagger \mathcal{U} + \chi \mathcal{U}^\dagger \chi \mathcal{U}^\dagger). \quad (6)$$

Notice that in the limit  $m_u = m_d = 0$  there are no ambiguities of the type observed by Kaplan and Manohar [4].

It is well known that in the large- $N_c$  limit of QCD [5], the constant  $f_\pi$  is  $\mathcal{O}(\sqrt{N_c})$ . In ref. [3] it was shown that  $L_5$  and  $L_8$  are of  $\mathcal{O}(N_c)$ , while the conclusion for  $L_7$  was that it should be considered as of  $\mathcal{O}(N_c^2)$ . The purpose of this note is to discuss some implications of the large- $N_c$  counting of the  $L_7$  constant. Our arguments implicitly assume that general properties derived from QCD in the large- $N_c$  limit hold order by order in  $\chi PT$ .

**2.** Expanding the Lagrangians  $\mathcal{L}_{eff}^{(2)}$  and  $\mathcal{L}_{eff}^{(4)}$  in powers of the Nambu-Goldstone fields  $\vec{\varphi}(x)$  gives a string of interaction terms. However, to leading order in the limit  $N_c \rightarrow \infty$ , and due to the fact that the  $\vec{\varphi}(x)$ -fields are always normalized to  $f_\pi$  -which itself is  $\mathcal{O}(\sqrt{N_c})$ -, only kinetic-like terms and mass-like terms survive from the expansion in  $\mathcal{L}_{eff}^{(2)}$ . With  $L_5$  and  $L_8$  considered as of  $\mathcal{O}(N_c)$ , the same happens with the terms induced by these couplings. It is easy to check that the omitted couplings in  $\mathcal{L}_{eff}^{(4)}$ , all lead to terms which vanish in the strict  $N_c \rightarrow \infty$  limit. This property is in fact in agreement with general arguments which assert that QCD in the  $N_c \rightarrow \infty$  limit is a theory of non-interacting mesons [6].

The terms generated by the expansion of the trace modulated by the  $L_7$  coupling in eq.(6) require special attention. When restricted to terms relevant to the purpose of the discussion here, one finds

$$\begin{aligned} L_7[tr(\chi^\dagger \mathcal{U} - \mathcal{U}^\dagger \chi)]^2 &= -L_7 \frac{64}{3} \frac{M_K^4}{f_\pi^2} \eta(x) \eta(x) \\ &\quad - L_7 \frac{64}{3\sqrt{3}} \frac{M_K^4}{f_\pi^4} \eta(x) \pi^0(x) K^+(x) K^-(x) + \dots, \end{aligned} \quad (7)$$

where the dots denote other 4-meson interactions. With  $L_7$  considered as of  $\mathcal{O}(N_c^2)$  in the large- $N_c$  limit we are confronted with (at least) the following serious problems:

- i) The quadratic term, a mass like term, diverges in the  $N_c \rightarrow \infty$  limit contrary to the expected constant behaviour [6]. Furthermore, with  $L_7 < 0$  -as suggested from phenomenology [3]- it is tachyonic!
- ii) The quartic  $\eta \pi^0 K^+ K^-$  term which remains in the limit  $N_c \rightarrow \infty$  plays the rôle of a  $\lambda \varphi^4$ -like interaction with a non-vanishing negative ( $L_7 < 0$ ) coupling, again in contradiction with the expected non-interacting behaviour [6]. This would also imply that the effective potential is unbounded from below and the theory, in the limit  $N_c \rightarrow \infty$ , becomes unstable!

In view of these conflicts, it seems mandatory to reconsider the reasons which lead to considering  $L_7$  as of  $\mathcal{O}(N_c^2)$  in the large- $N_c$  limit.

**3.** The reason why it is usually assumed that  $L_7 \sim \mathcal{O}(N_c^2)$  is because of the contribution of the  $SU(3)$ -singlet, the  $\eta_0$ . Indeed, when discussing the large- $N_c$  limit, it is convenient to work with the  $U_L(3) \times U_R(3)$  effective Lagrangian which includes nine Nambu-Goldstone fields. To leading order in the chiral expansion and in the  $1/N_c$ -expansion the Lagrangian is (see refs. [7] to [14]):

$$\mathcal{L}(\tilde{\mathcal{U}}) = \frac{1}{4} f_\pi^2 \left\{ tr \partial_\mu \tilde{\mathcal{U}} \partial^\mu \tilde{\mathcal{U}}^\dagger + tr(\chi \tilde{\mathcal{U}}^\dagger + \tilde{\mathcal{U}} \chi^\dagger) + \frac{a}{4N_c} (tr \log \frac{\tilde{\mathcal{U}}}{\tilde{\mathcal{U}}^\dagger})^2 \right\}, \quad (8)$$

where

$$\tilde{\mathcal{U}} = \exp(-i\sqrt{2/3} \frac{\eta_0(x)}{f_\pi}) \mathcal{U}. \quad (9)$$

The constant  $a$  has dimensions of mass squared, and with the  $1/N_c$  factor pulled out, it is of  $\mathcal{O}(1)$  in the large- $N_c$  limit. At the same level of approximations, the expansion in powers of the  $\eta_0$  field results in the expression:

$$\begin{aligned}\mathcal{L}(\tilde{\mathcal{U}}) = & \frac{1}{2}\partial_\mu\eta_0\partial^\mu\eta_0 - \frac{1}{2}\left(\frac{3a}{N_c} + \frac{2}{3}M_K^2\right)\eta_0\eta_0 \\ & - i\sqrt{2/3}\frac{f_\pi}{4}\eta_0\text{tr}(\chi^\dagger\mathcal{U} - \mathcal{U}^\dagger\chi) + \mathcal{L}_{eff}^{(2)},\end{aligned}\quad (10)$$

where  $\mathcal{L}_{eff}^{(2)}$  is the same as in eq.(3), and therefore has no  $\eta_0$  field couplings.

Integrating out the  $\eta_0$  field results in general in a non-local interaction of the form

$$f_\pi^2 \int d^4x d^4y [\text{tr}(\chi^\dagger\mathcal{U}(x) - \mathcal{U}(x)^\dagger\chi)] D(x-y) [\text{tr}(\chi^\dagger\mathcal{U}(y) - \mathcal{U}(y)^\dagger\chi)], \quad (11)$$

with

$$\int d^4x e^{ipx} D(x) = \left[p^2 - \left(\frac{3a}{N_c} + \frac{2}{3}M_K^2\right)\right]^{-1}.$$

As long as one keeps  $N_c$  finite, and to the extent that  $3a/N_c \gg M_K^2$ , one can envisage an expansion in powers of momentum that yields a tower of *local* operators. To lowest order in this expansion one finds

$$\mathcal{L}(\tilde{\mathcal{U}}) \Rightarrow \mathcal{L}_{eff}^{(2)} - \frac{f_\pi^2}{48\left(\frac{3a}{N_c} + \frac{2}{3}M_K^2\right)} [\text{tr}(\chi^\dagger\mathcal{U} - \mathcal{U}^\dagger\chi)]^2. \quad (12)$$

There appears then an  $L_7$  term, with an estimate for the induced coupling constant :

$$L_7^{\eta'} = -\frac{f_\pi^2}{48\left(\frac{3a}{N_c} + \frac{2}{3}M_K^2\right)}. \quad (13)$$

In terms of physical masses:  $\frac{3a}{N_c} + \frac{2}{3}M_K^2 \simeq M_\eta^2 + M_{\eta'}^2 - \frac{4}{3}M_K^2 \simeq M_{\eta'}^2$ , and  $L_7^{\eta'} \simeq -2 \times 10^{-4}$ .

Let us now discuss the large- $N_c$  limit. Taking the limit  $N_c \rightarrow \infty$  on the expression (12) invalidates the condition under which eq. (12) was obtained. If, in spite of this fact, one still takes this limit one finds that the answer crucially depends on whether one takes the chiral limit first and  $N_c \rightarrow \infty$  afterwards or the other way around. The usual result  $L_7 \sim \mathcal{O}(N_c^2)$  comes from first neglecting  $M_K^2$  in eq. (13) and then taking  $N_c \rightarrow \infty$ . This faces the problems with the large- $N_c$  counting of QCD that we mentioned at the beginning. If, on the contrary, one takes the limit  $N_c \rightarrow \infty$  keeping  $M_K^2$  finite one finds that the chiral counting is upset and one can no longer consider  $L_7$  (which now would be of  $\mathcal{O}(N_c)$  instead) as a coefficient of the  $\mathcal{O}(p^4)$  chiral  $SU(3)$  Lagrangian. Of course both situations stem from the fact that in the limit  $N_c \rightarrow \infty$  the interaction (11) cannot be considered local and therefore, strictly speaking, it cannot be encoded into an  $L_7$  term. The limit  $N_c \rightarrow \infty$  has to be described by enlarging the chiral  $SU(3)$  group to chiral  $U(3)$  (i.e. the Lagrangian of eq. (8) plus higher order terms). There will also be an  $\mathcal{O}(p^4)$   $L_7$ -like term in this chiral  $U(3)$  effective Lagrangian, but it will be at most of  $\mathcal{O}(N_c)$  at large  $N_c$  since the  $\eta_0$  is an explicit field in the Lagrangian. Then no inconsistencies arise.

There is, however, a sense in which taking the limit  $N_c \rightarrow \infty$  in eq. (13) is still meaningful. This is when going from  $U_L(3) \times U_R(3)$  to the limit  $U_L(2) \times U_R(2)$ . In this case the kaon is

no longer a Nambu-Goldstone particle and can be treated as a heavy particle in an effective theory (of two light flavours) with momenta  $p^2 \ll M_K^2$ . Then  $M_K^2$  in eq. (13) is kept finite and the mass term for the  $\eta$ -field in the Lagrangian (12) has two sources, with the result

$$-\frac{1}{2} \frac{a}{N_c} \frac{4M_K^2}{\frac{3a}{N_c} + \frac{2}{3}M_K^2} \eta(x) \eta(x) \quad . \quad (14)$$

In the limit  $N_c \rightarrow \infty$  the Lagrangian (12) reveals the existence of four Nambu-Goldstone particles: the three pions and the  $\eta$  singlet. With  $m_u \neq m_d \neq 0$ , the same Lagrangian describes the effective theory of four (pseudo) Nambu-Goldstone bosons with an explicit  $L_7$ -type interaction. The coupling constant of this interaction term, which is the  $U_L(2) \times U_R(2)$  equivalent of the  $l_7$  in refs. [2] [3], appears then as of  $\mathcal{O}(N_c)$  in the large- $N_c$  limit.

From the analyses above, we are led to the conclusion that, if the low-energy effective field theory of QCD with three light flavours is to remain compatible with the large- $N_c$  limit of QCD, a safe way to formulate the effective chiral Lagrangian is within the framework of  $U_L(3) \times U_R(3)$  instead of  $SU_L(3) \times SU_R(3)$ . In that respect, a systematic study of the phenomenological implications of low-energy hadron physics within that framework, in particular in the sector of  $\eta(\eta')$ -decays and, perhaps, non-leptonic  $K$ -decays, seems worthwhile.

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